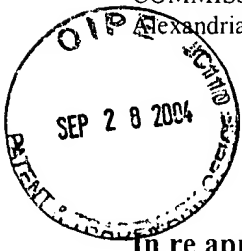


COMMISSIONER FOR PATENTS  
Alexandria, VA 22313-1450



2132  
IFW  
SERIAL NO. 09/817,867

IBM DOCKET NO. AUS920010143US1

DATE: September 24, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dutta

Serial No.: 09/817,867

Filed: March 26, 2001

Title: System and Method for Placement of  
User-Negotiated Security Features  
on Ticket Items

: Group Art Unit: 2132  
: Intellectual Property Law Department  
: International Business  
: Machines Corporation  
: Intellectual Property Law Dept.  
: 11400 Burnet Road

Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

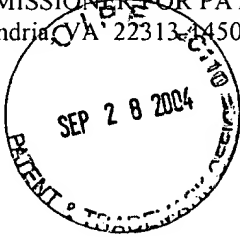
This Supplemental Information Disclosure Statement is believed to be timely pursuant to 37 CFR § 1.97(b), therefore no additional fees are believed to be necessary. If, however, additional fees are deemed to be necessary, the Commissioner is hereby authorized to charge such fees to IBM's deposit account No. 09-0447.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

By Joseph T. Van Leeuwen  
Joseph T. Van Leeuwen  
Attorney for Applicant  
Registration No. 44,383  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

DATE: September 24, 2004



Sir:

Assignee Name: International Business Machines Corporation

Assignee Residence: Armonk, New York

Transmitted herewith for filing is the Patent Application of:

Inventors: Dutta

For: System and Method for Placement of User-Negotiated Security Features on Ticket Items

Enclosed are:

- X Supplemental Information Disclosure Statement;
- X Modified form 1449 listing 4 reference(s);
- X copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- X This Supplemental IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. **A duplicate copy of this sheet is enclosed.**
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. **A duplicate copy of this sheet is enclosed.**
- X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By Joseph T. Van Leeuwen  
Joseph T. Van Leeuwen  
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Facsimile: (512) 301-6742

**Certificate of Mailing Under C.F.R. §1.8(a)**

I hereby certify that this correspondence was sent via First Class Mail to the United States Patent Office in an envelope with sufficient postage addressed to Commissioner for Patents, Alexandria, VA 22313-1450 on September 24, 2004.

By: Joseph T. Van Leeuwen  
Joseph T. Van Leeuwen

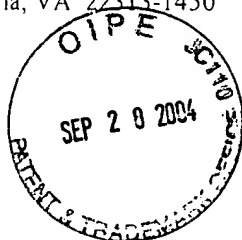
9/24/04  
Date

COMMISSIONER FOR PATENTS  
Alexandria, VA 22313-1450

SERIAL NO. 09/817,867

IBM DOCKET NO. AUS920010143US1

DATE: September 24, 2004



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Assignee Name: International Business Machines Corporation

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Respectfully submitted,

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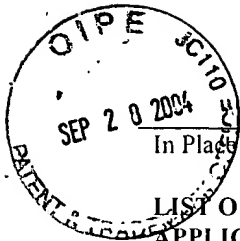
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By:

Joseph T. Van Leeuwen  
Joseph T. Van Leeuwen

9/24/04

Date



In Place of FORM PTO-1449 (Modified)

Serial No. 09/817,867

Applicant: Dutta

Filing Date: 3/26/2001

Group: 2132

Atty. Docket No. AUS920010143US1

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

**U. S. PATENT DOCUMENTS**

Examiner <u>Initial</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	Filing Date if <u>Appropriate</u>
_____	AA 5,598,477	01-1997	Berson, William	380	51	
_____	AB 6,216,227	04-2001	Goldstein et al.	713	172	
_____	AC 6,454,174	09-2002	Sansone, Ronald P.	235	494	
_____	AD					
_____	AE					
_____	AF					
_____	AG					
_____	AH					
_____	AI					
_____	AJ					
_____	AK					
_____	AL					

**FOREIGN PATENT DOCUMENTS**

Examiner <u>Initial</u>	Document <u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	Translation <u>Yes</u> <u>No</u>
_____	AM					
_____	AN					
_____	AO					
_____	AP					

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner <u>Initial</u>	
_____	AQ Bruce Schneier, Applied Cryptography 2 <sup>nd</sup> Edition, 1996, pages 34-35.
_____	AR
_____	AS
_____	AT
_____	AU

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.